



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/040,933	10/23/2001	Philippe Richard	60040-300201

25696  
OPPENHEIMER WOLFF & DONNELLY  
P. O. BOX 10356  
PALO ALTO, CA 94303



CONFIRMATION NO. 3792

## FORMALITIES LETTER



\*OC000000007414104\*

Date Mailed: 02/04/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$432.
  - \$432 for 24 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1302.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

04/17/2002 NMOHAMM1 00000051 10040933

1 FC:201  
2 FC:203  
3 FC:205

370.00 OP  
216.00 OP  
65.00 OP

A copy of this notice **MUST** be returned with the reply.

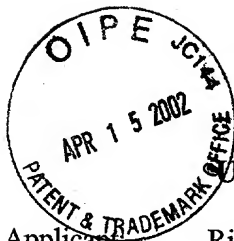
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

205140-EE604001



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#3 SMP

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Richard, Philippe Docket No.: 60040-300201  
Serial No.: 10/040,933 Group Art Unit: 2131  
Filing Date: October 23, 2001 Examiner: Unassigned  
For: **INFORMATION MANAGEMENT SYSTEM AND METHOD**

**Box Missing Parts**

Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL FOR RESPONSE TO FILE MISSING PARTS**

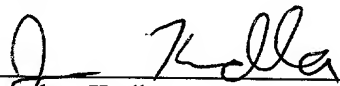
Enclosed herewith for filing in the above-identified application are the following:

- ☒ Copy of Notice to File Missing Parts of Provisional Application (Filing Date Granted);
- ☒ Check No. 247 in the amount of \$651.00 for payment of the required fees;
- ☒ 13 sheets of formal drawings;
- ☒ Executed Declaration/Power of Attorney and Petition;
- ☒ Applicants claim the benefit of Small Entity Status under 37 CFR 1.9(f) and 1.27(b);
- ☒ Postcard for date-stamped return as confirmation of receipt of these items; and
- ☐ Applicant petitions for an extension of time under 37 CFR 1.136(a) for \_\_\_\_\_ months.

Total fees due in this application amount to \$651.00. The Commissioner is further authorized to charge any required additional fees, or credit any overpayment, to deposit account 02-3964.

Respectfully submitted,

Date: April 3, 2002

  
Jonathan Kudla  
Reg. No. 47,724

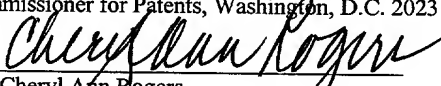
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**CERTIFICATE OF MAILING (37CFR 1.8 (A))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Date: April 3, 2002

  
Cheryl Ann Rogers